

04/19/01

3c772 U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

2343-114-27

First Inventor or Application Identifier

MARK E. ZAPPI

Title

ON-SITE BIOLOGICAL TREATMENT OF CONTAMINATED FLUIDS

3c772 U.S. PTO

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**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)  
☒ Applicant claims small entity status.
2. ☒ Specification Total Pages **15**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **1**
4. ☐ Oath or Declaration Total Pages   
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with box 16 completed)
5. ☐ Incorporation By Reference (usable if box 4B is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

**ACCOMPANYING DOCUMENTS**

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☒ List of Inventors' Names and Addresses
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

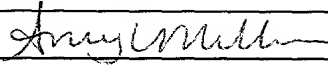
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

17. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on☐ This application claims priority of provisional application Serial No. Filed**18. CORRESPONDENCE ADDRESS**Supervisor, Patent Prosecution Services  
PIPER MARBURY RUDNICK & WOLFE LLP  
1200 Nineteenth Street, N.W.  
Washington, D.C. 20036-2412  
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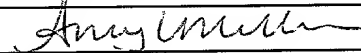
Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	04-19-01
Name	Amy L. Miller	Registration No.	43,804

04/19/01

<b>FEE TRANSMITTAL</b>						Docket No		2343-114-27			
						Serial No.		NEW PATENT APPLICATION			
						Filing Date		HEREWITH			
						Inventor(s)		MARK E. ZAPPI, ET AL.			
						Group Art Unit		UNASSIGNED			
TOTAL AMOUNT OF PAYMENT				\$420.00		Examiner		UNASSIGNED			

1. <input checked="" type="checkbox"/> Applicant claims small entity status. <input checked="" type="checkbox"/> Charge any <b>UNDERPAYMENT</b> or credit any <b>OVERPAYMENT</b> in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.										<b>FEE CALCULATION (continued)</b>						
										<b>3. ADDITIONAL FEES</b>						
										Large Entity		Small Entity		Fee Description		Fee Paid
2. <input checked="" type="checkbox"/> Check enclosed.										Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid	
<b>FEE CALCULATION</b>										105	130	205	65	Surcharge-late filing fee or oath	65.00	
1. <b>BASIC FILING FEE</b>										127	50	227	25	Surcharge-late provisional filing fee or cover sheet		
Large Entity		Small Entity		Fee Description		139	130	139	130	Non-English specification						
Fee Code	Fee (\$)	Fee Code	Fee (\$)			147	2520	147	2520	Ex parte reexam fee						
101	710	201	355	Utility filing fee		115	110	215	55	1-mo ext of time						
106	320	206	160	Design filing fee		116	390	216	195	2-mo ext of time						
107	490	207	245	Plant filing fee		117	890	217	445	3-mo ext of time						
108	710	208	355	Reissue filing fee		118	1390	218	695	4-mo ext of time						
114	150	214	75	Provisional filing fee		128	1890	228	945	5-mo ext of time						
<b>SUBTOTAL (1)</b>						\$355.00		119	310	219	155	Notice of Appeal				
2. <b>EXTRA CLAIM FEES</b>										120	310	220	155	Appeal Brief		
tot. claims		14	-	20*	=	0	x	\$9	=	0	121	270	221	135	Request for Oral Hearing	
ind. claims		1	-	3*	=	0	x	\$40	=	0	142	1240	242	620	Utility/Reissue Issue Fee	
<input type="checkbox"/> Multiple Dependent Claims				\$135	=						143	440	243	220	Design Issue Fee	
Large Entity		Small Entity		Fee Description		144	600	244	300	Plant Issue Fee						
Fee Code	Fee (\$)	Fee Code	Fee (\$)			122	130	122	130	Petitions to the Commissioner						
103	180	203	9	Claims in excess of 20		126	180	126	180	IDS Submission						
102	80	202	40	Independent claims in excess of 3		581	40	581	40	Assignment						
104	270	204	135	Multiple dependent claim, if not paid		179	710	279	355	For Filing RCE						
109	80	209	40	*Reissue independent claims over original patent		169	900	169	900	Expedited Design						
110	18	210	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below)										
<b>SUBTOTAL (2)</b>						\$0.00										
* or number previously paid, if greater, For Reissues, see above										<b>SUBTOTAL (3)</b>		\$65.00				

Name	Steven B. Kelber	Registration No.	30,073	
Signature		Date	04-19-07	Telephone
Name	Amy L. Miller	Registration No.	43,804	

DOCKET NO. 2343-114-27

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: MARK E. ZAPPI, ET AL.

SERIAL NO.: NEW PATENT APPLICATION

FILING DATE: HERewith

FOR: ON-SITE BIOLOGICAL TREATMENT OF CONTAMINATED FLUIDS

**LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

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A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



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093749-04901  
106740-5872360